

Deciding FO-definability of Regular Languages

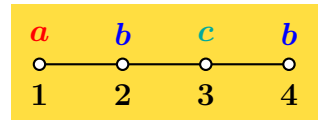
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FO-definability of Regular Languages

A word $w \in \Sigma^*$ is a first order structure with binary predicate $<$ and unary predicates $a(x)$ for each $a \in \Sigma$ on the domain $[1, |w|]$.



A language can be defined by a formula.

E.g. the formula $\exists x \exists y (x < y) \wedge a(x) \wedge b(y)$ defines the language $\Sigma^* a \Sigma^* b \Sigma^*$.



FO($<$)-formulas define precisely all star-free languages.

(McNaughton and Papert, '71)

Determining FO($<$)-definability of regular languages is PSPACE-complete.

(Cho, Huynh '91), (Bernátsky '97).

Problem: How Hard is a Given Regular Language?

Let L be a regular language.

Does $L \in AC^0$? Does $L \in ACC^0$? Is L NC^1 -complete?

(Barrington et al. '92) gives the following corresponding descriptive complexities for regular languages:

- AC^0 is equivalent to $FO(<, \equiv)$, which is $FO(<)$ with predicates $x \equiv_p y$ that mean $x - y = 0 \pmod p$,
- ACC^0 is equivalent to $FO(<, \mathbf{MOD})$, which is $FO(<)$ with quantifiers \exists_p^r , where $\exists_p^r x \phi(x)$ means that the number of positions satisfying $\phi(x)$ is equal to $r \pmod p$.

Only decidability of these problems was known previously.

New results: $FO(<, \equiv)$ - and $FO(<, \mathbf{MOD})$ -definability are PSPACE-complete for regular languages given as DFA, NFA, or 2NFA.

Regular Languages and Monoids

A *monoid* (M, \cdot, e) is a set M with associative operation \cdot and the neutral element e . E.g. Σ^* is a monoid w.r.t. concatenation and the empty word.

A language $L \subseteq \Sigma^*$ is *recognisable* by a monoid M iff $L = f^{-1}(f(L))$ for some morphism $f : \Sigma^* \rightarrow M$. Regular languages are exactly the languages recognisable by finite monoids.

In a DFA \mathcal{A} every letter is a function on the set of states. They generate a *transitional monoid* $M(\mathcal{A})$ by composition. It recognizes $L(\mathcal{A})$.

Definability, Algebraic Characterisations, and Circuit Complexity

Let L be a regular language.

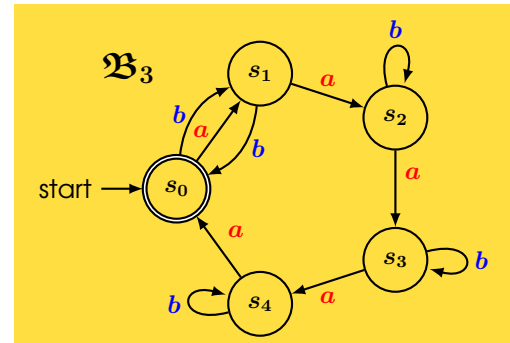
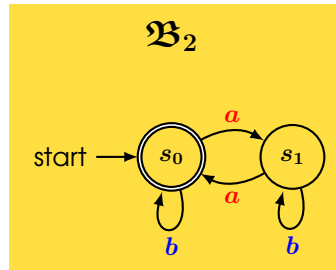
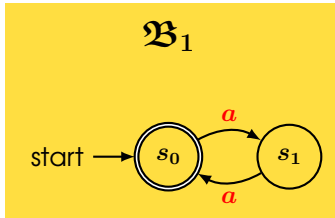
definability of L	algebraic characterisation of L	circuit complexity
$FO(<)$	no non-trivial groups in $M(L)$	in AC^0
$FO(<, \equiv)$	no non-trivial groups in $\eta_L(\Sigma^t)$ for any t	
$FO(<, \mathbf{MOD})$	no unsolvable groups in $M(L)$	in ACC^0
not in $FO(<, \mathbf{MOD})$	$M(L)$ contains an unsolvable group	NC^1 -complete

$M(L)$ is the transitional monoid of the minimal DFA recognising L .

$\eta_L : \Sigma^* \rightarrow M(L)$ is the syntactic morphism.

(Barrington et al. '92).

Examples



- $M(\mathfrak{B}_1) \sim \mathbb{Z}_2$, therefore $\mathbf{L}(\mathfrak{B}_1) = \{a^{2n} \mid n \in \mathbb{N}\}$ is not FO($<$)-definable (star-free). It is in AC^0 .
- $M(\mathfrak{B}_2) \sim \mathbb{Z}_2$, but also $M(\mathfrak{B}_2) = \eta_{\mathbf{L}(\mathfrak{B}_2)}(\{a, b\})$, so $\mathbf{L}(\mathfrak{B}_2) = \{v \mid |v|_a \text{ is even}\}$ is not FO($<, \equiv$)-definable, not in AC^0 , but is in ACC^0 .
- $M(\mathfrak{B}_3) \sim S_5$, so $\mathbf{L}(\mathfrak{B}_3)$ is not in FO($<, \text{MOD}$) and is NC^1 -complete.

Non-Trivial Groups and How to Find Them (in a Monoid)

Any non-trivial group: find x such that $x = x^{n+1} \neq x^n$ for some $n > 1$.

A non-trivial group in $\eta_{\mathbf{L}}(\Sigma^t)$: find $x, e \in \eta_{\mathbf{L}}(\Sigma^t)$ such that $x = x^{n+1} \neq x^n = e$ for some $n > 1$.

An unsolvable group: find distinct $x, y, e \in M(\mathbf{L})$ and coprime odd $k, l > 1$ such that $e = x^2 = y^k = (xy)^l$, $x e = x$, and $y e = y$.

(See [\(Kaplan, Levy 2010\)](#)).

PSPACE

For a given automaton \mathcal{A} the monoid $M(\mathbf{L}(\mathcal{A}))$ can have exponentially many elements. (Holzer and König '04)

Every monoid recognising \mathbf{L} has $M(\mathbf{L})$ as a factor monoid: $M(\mathbf{L}) = M(\mathcal{A}) / \sim_{\mathbf{L}}$.

We can store elements of $M(\mathcal{A})$, multiply, and check equivalence w.r.t. $\sim_{\mathbf{L}}$ in polynomial space (even for 2NFAs).

Therefore all the problems considered here are in PSPACE.

PSPACE-hardness

It is sufficient to show PSPACE-hardness only for minimal DFAs.

Let \mathfrak{M} be a deterministic Turing machine that decides a language using at most $N = P_{\mathfrak{M}}(n)$ tape cells on any input of size n , for some polynomial $P_{\mathfrak{M}}$.

Given \mathfrak{M} and an input w , we define three minimal DFAs whose languages are, respectively, FO($<$)-, FO($<, \equiv$)-, and FO($<, \mathbf{MOD}$)-definable iff \mathfrak{M} rejects w .

The Construction

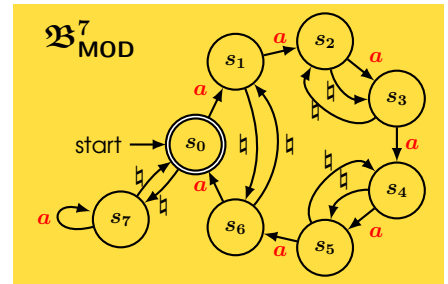
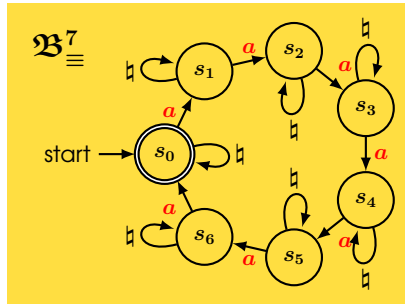
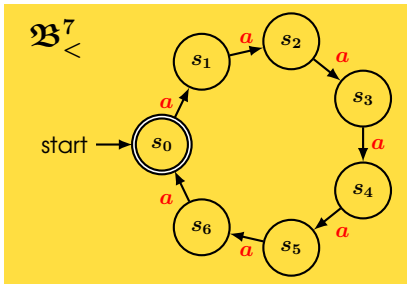
We define a series of minimal DFAs \mathcal{A}_i for $i \in [0, p]$ such that the following holds:

- p is a prime number bigger than $N + 1$
- $p \not\equiv \pm 1 \pmod{10}$.
- All of the languages $\mathbf{L}(\mathcal{A}_i)$, for $i \leq p$, are FO($<$)-definable (star-free).
- \mathfrak{M} accepts w iff $\bigcap_{i=0}^p \mathbf{L}(\mathcal{A}_i) \neq \emptyset$.

We can use the construction from (Cho, Huynh '91) here, but in the paper we use a more streamlined one.

The Construction cont.

Next, we require three DFAs $\mathfrak{B}_{<}^p$, \mathfrak{B}_{\equiv}^p and $\mathfrak{B}_{\text{MOD}}^p$; see the picture below for $p = 7$.



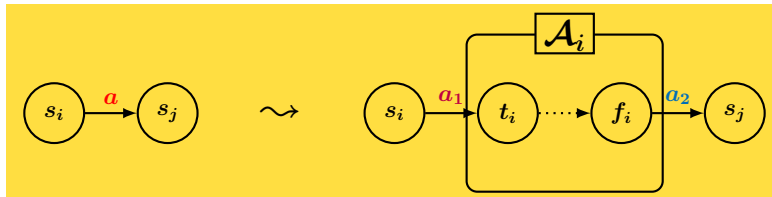
Formally in $\mathfrak{B}_{\text{MOD}}^p$ for $i \in [1, p - 1]$ $\delta_{\text{a}}(s_i) = s_j$ where $j = -1/i$ in the field F_p .

$M(\mathfrak{B}_{\text{MOD}}^p)$ is isomorphic to $\text{PSL}_2(p)$ which is unsolvable, but all its proper subgroups are [\(King '77\)](#).

Finishing the Construction

Finally, we define the three automata $\mathcal{A}_{<}$, \mathcal{A}_{\equiv} , \mathcal{A}_{MOD} .

We take, respectively, $\mathfrak{B}_{<}^p$, \mathfrak{B}_{\equiv}^p , $\mathfrak{B}_{\text{MOD}}^p$ and replace each transition $s_i \xrightarrow{a} s_j$ by a fresh copy of \mathcal{A}_i :



It follows that $\mathcal{A}_{<}$, \mathcal{A}_{\equiv} , and \mathcal{A}_{MOD} are minimal DFAs of size polynomial in N , $|\mathfrak{M}|$.

The Main Theorem

- $\mathbf{L}(\mathcal{A}_{<})$ is $\text{FO}(<)$ -definable iff $\bigcap_{i=0}^p \mathbf{L}(\mathcal{A}_i) = \emptyset$.
- $\mathbf{L}(\mathcal{A}_{\equiv})$ is $\text{FO}(<, \equiv)$ -definable iff $\bigcap_{i=0}^p \mathbf{L}(\mathcal{A}_i) = \emptyset$.
- $\mathbf{L}(\mathcal{A}_{\text{MOD}})$ is $\text{FO}(<, \text{MOD})$ -definable iff $\bigcap_{i=0}^p \mathbf{L}(\mathcal{A}_i) = \emptyset$.

So $\text{FO}(<, \equiv)$ - and $\text{FO}(<, \text{MOD})$ -definability of regular languages are PSPACE-hard.

Thank you for your attention!