



Greibach Normal Form and Simple Automata for Weighted ω -Context-Free Languages

Complete Star-Omega Semirings

Manfred Droste
Leipzig University

Sven Dziadek
LRDE, EPITA

Werner Kuich
Technical University Vienna



Motivation

We have a logical characterization for
weighted simple ω -pushdown automata

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weighted ω -context-free languages

Introduction

to Weighted Languages



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$s: \Sigma^\omega \rightarrow S$ (ω -series)

Examples

- counting
- optimization (costs or gains)
- probabilities
- transducer
- average, discounting

Introduction: Weighted Automata (on Semirings)

$$\|\mathcal{A}\|: \Sigma^* \rightarrow S$$

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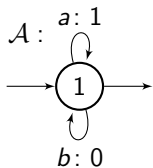
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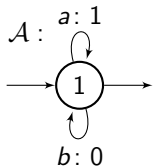
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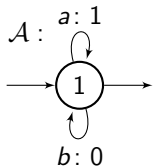


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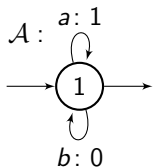
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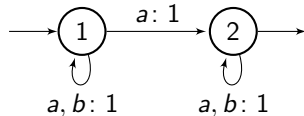


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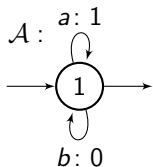
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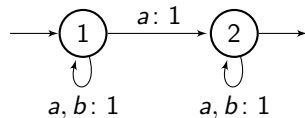


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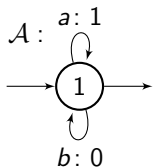
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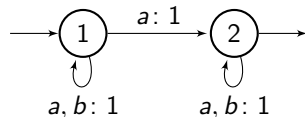


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Examples

- unweighted (Boolean semiring): $(\mathbb{B}, \vee, \wedge, \perp, \top)$
- probabilities: $(\mathbb{Q}_+, +, \cdot, 0, 1)$
- transducer: $(2^{\Sigma^*}, \cup, \cdot, \emptyset, \{\epsilon\})$
- Viterbi: $([0, 1], \max, \cdot, 0, 1)$

Complete Semirings



Complete and Continuous Star-Omega Semirings

Complete: “has infinite sums and infinite products”

Continuous: existence of certain fixpoints

Additional: star $*$ and omega ω operation

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Examples

$\langle \mathbb{R}_+^\infty, \min, +, \infty, 0 \rangle$, $\langle \mathbb{Q}_+^\infty, +, \cdot, 0, 1 \rangle$

Identities for Conway Semirings

Conway semiring:

– sum-star-equation:

$$(a + b)^* = (a^* b)^* a^*$$

– product-star-equation:

$$(ab)^* = 1 + a(ba)^* b$$

– it follows:

$$a^* = 1 + aa^* \quad \text{and} \quad (ab)^* a = a(ba)^*$$

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Lemma (Hebisch 1990)

Each complete star-omega semiring is a Conway semiring.

ω -Algebraic Systems



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Example (ω -context-free grammar)

$$Y_1 \rightarrow Y_2 Y_1$$

$$Y_2 \rightarrow aY_2b \mid ab$$

(with Büchi-accepting set $\{Y_1\}$) recognizes $\{a^n b^n \mid n \geq 1\}^\omega$.

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has (the *first*, i.e., only y_1 is Büchi-accepting) *canonical solution* $(\bigoplus_{n \geq 1} a^n b^n)^\omega$.

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Algebraic Series

The canonical solutions are called ω -algebraic series.



Weighted ω -Pushdown Automata

Transition Matrix - Büchi Condition

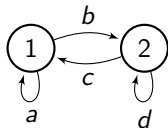
Transition Matrix

Intuition: *adjacency matrix* of a finite automaton

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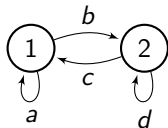
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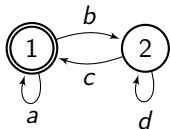


$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

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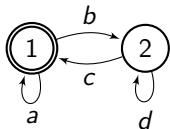
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$M^{\omega, k}$ contains infinite paths visiting the first k states infinitely often.

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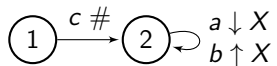
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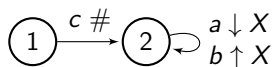
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$$M^{\omega, 1} = \begin{pmatrix} (a + bd^*c)^\omega & \\ d^*c(a + bd^*c)^\omega & \end{pmatrix}$$

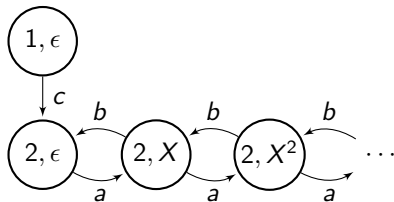
Pushdown Matrix



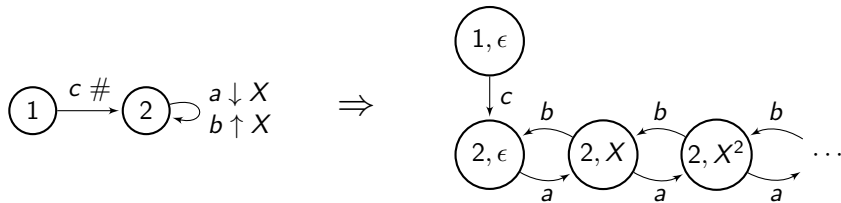
Pushdown Matrix



\Rightarrow



Pushdown Matrix



Pushdown Matrix

Intuition: *adjacency matrix* of the graph of configurations of a pushdown automaton

Weighted ω -Pushdown Automata

Definition (Weighted ω -pushdown automaton)

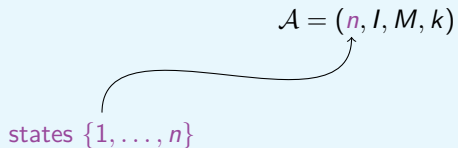
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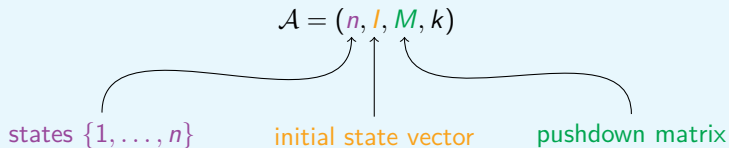
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states $\{1, \dots, n\}$ initial state vector

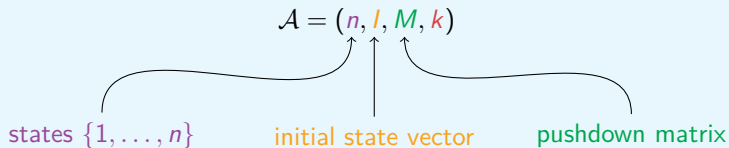
Weighted ω -Pushdown Automata

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Behavior:

$$\|\mathcal{A}\| = I(M^{\omega, k})_{\epsilon}$$

Back to the paper:

Results



Results

Greibach normal form

- for ω -algebraic systems



Results



Greibach normal form

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- ω -algebraic systems can be transformed into automata

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Thank you for your attention!

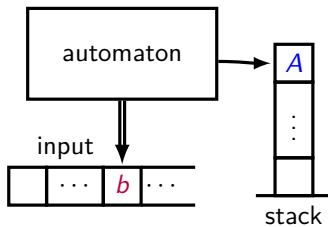
The right side of the slide features a decorative graphic composed of several overlapping triangles in various shades of blue, ranging from a bright cyan to a light sky blue. The triangles are arranged in a way that they appear to be part of a larger, abstract geometric pattern.

Backup

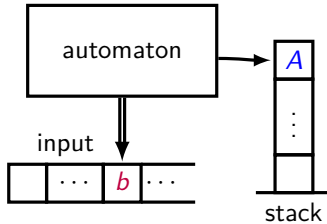
Unweighted Case



ω -Pushdown Automata



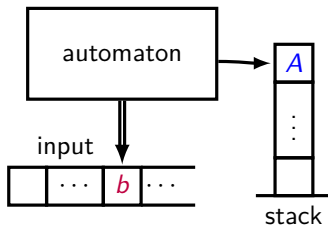
ω -Pushdown Automata



What can it do?

- ϵ -transitions

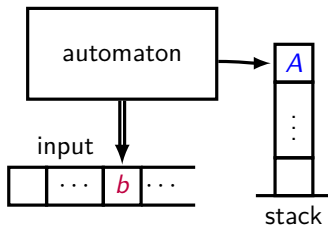
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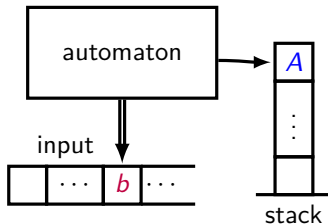
ω -Pushdown Automata



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- pop $A \in \Gamma$ and push $\alpha \in \Gamma^*$

ω -Pushdown Automata



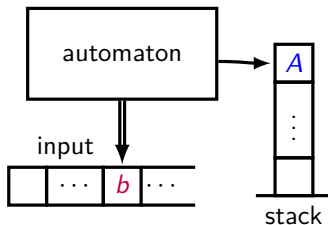
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Instead: $a \downarrow X$,
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Simple ω -Pushdown Automata



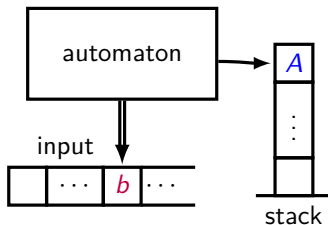
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Occurs in proof by Blass, Gurevich 2006.

Simple ω -Pushdown Automata accept all ω -Context-Free Languages

Construction: Simple ω -Pushdown Automata

Given ω -context-free grammar:

$$S \rightarrow cX$$

$$X \rightarrow aXX \mid b$$

with nonterminals $\{S, X\}$

X Büchi-accepting

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Grammar is in *quadratic Greibach normal form* if

$$A \rightarrow a, \quad A \rightarrow aB, \quad A \rightarrow aBC$$

are the only “types” of production rules.

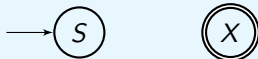
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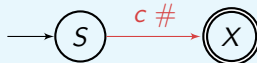
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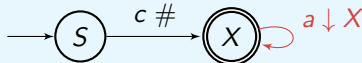
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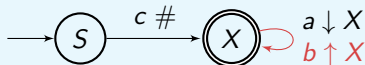
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Let A be a continuous commutative star-omega semiring.

Let u be an ω -algebraic series over A .

Then there exists a weighted simple ω -pushdown automaton with behavior u .

Complete Semirings

Semiring $\langle A, +, \cdot, 0, 1 \rangle$ is

– *complete* if it has infinitary sum operations $\sum_I: D^I \rightarrow D$, s.t.

$$(1) \quad \sum_{i \in \emptyset} d_i = 0, \quad \sum_{i \in \{k\}} d_i = d_k, \quad \sum_{i \in \{j,k\}} = d_j + d_k \text{ for } j \neq k,$$

$$(2) \quad \sum_{j \in J} \left(\sum_{i \in I_j} d_i \right) = \sum_{i \in I} d_i \quad \text{if } \bigcup_{j \in J} I_j = I \text{ and } I_j \cap I_k = \emptyset \text{ for } j \neq k,$$

$$(3) \quad \sum_{i \in I} (c \cdot a_i) = c \cdot \left(\sum_{i \in I} a_i \right), \quad \sum_{i \in I} (a_i \cdot c) = \left(\sum_{i \in I} a_i \right) \cdot c$$

– *complete starsemiring* if complete and *star* defined as

$$a^* = \sum_{j \geq 0} a^j$$

Continuous Semiring

A monoid $\langle A, +, 0 \rangle$ is

- *ordered* if commutative and partial ordering \leq preserved by $+$ s.t. $0 \leq a$ for all $a \in A$
- *continuous* if ordered and each directed subset $D \subseteq A$ has $\sup D$ and Operation $+$ preserves the least upper bound of directed sets, i.e.,

$$a + \sup D = \sup(a + D)$$

Definition (Continuous semiring)

A semiring $\langle A, +, \cdot, 0, 1 \rangle$ is *continuous* if $\langle A, +, 0 \rangle$ is continuous monoid and multiplication is continuous, i.e.,

$$a \cdot \sup D = \sup(a \cdot D) \quad \text{and} \quad \sup D \cdot a = \sup(D \cdot a)$$

The infinite product operation for complete semiring-semimodule pairs has these conditions:

$$\prod_{i \geq 1} s_i = \prod_{i \geq 1} (s_{n_{i-1}+1} \cdot \dots \cdot s_{n_i})$$
$$s_1 \cdot \prod_{i \geq 1} s_{i+1} = \prod_{i \geq 1} s_i$$
$$\prod_{j \geq 1} \sum_{i_j \in I_j} s_{i_j} = \sum_{(i_1, i_2, \dots) \in I_1 \times I_2 \times \dots} \prod_{j \geq 1} s_{i_j}$$

where in the first equation $0 = n_0 \geq n_1 \geq n_2 \geq \dots$ and I_1, I_2, \dots are arbitrary index sets.

Canonical Solutions



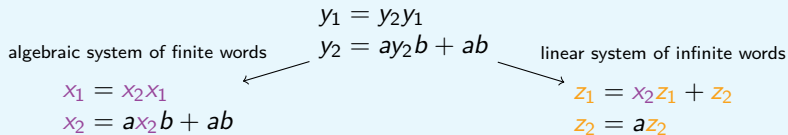
Mixed ω -Algebraic Systems

$$y_1 = y_2 y_1$$

$$y_2 = a y_2 b + ab$$

Canonical Solutions of ω -Algebraic Systems

Mixed ω -Algebraic Systems



Canonical Solutions of ω -Algebraic Systems

Mixed ω -Algebraic Systems

$$\begin{array}{ccc} & y_1 = y_2 y_1 & \\ & y_2 = a y_2 b + ab & \\ \text{algebraic system of finite words} & \swarrow & \searrow \text{linear system of infinite words} \\ \begin{array}{l} x_1 = x_2 x_1 \\ x_2 = a x_2 b + ab \end{array} & & \begin{array}{l} z_1 = x_2 z_1 + z_2 \\ z_2 = a z_2 \end{array} \end{array}$$

Write linear system of infinite words in matrix notation:

$$z = M(x)z \quad \text{with} \quad M(x) = \begin{pmatrix} x_2 & 1 \\ 0 & a \end{pmatrix}$$

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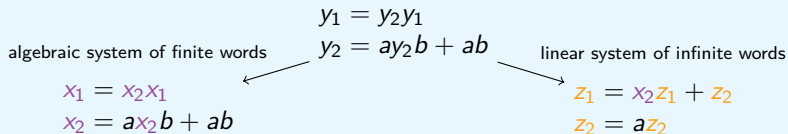
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The canonical solutions are called ω -algebraic series.

Canonical Solutions

Recall: $x_1 = x_2 x_1$ and $z_1 = x_2 z_1 + z_2$ \Rightarrow $M(x) = \begin{pmatrix} x_2 & 1 \\ 0 & a \end{pmatrix}$

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Greibach Normal Form for ω -Algebraic Systems

Theorem

Let A be a continuous commutative star-omega semiring.

Let u be an ω -series over A . The following are equivalent:

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Proof Sketch

1. (above) is equivalent to

3. $u = \sum_{1 \leq j \leq l} s_j t_j^\omega$ for some $l \geq 0$, where s_j, t_j are algebraic series.

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Greibach Normal Form (1)

Proof Sketch (cont.)

Let $u = \sum_{1 \leq j \leq l} s_j t_j^\omega$ ($l \geq 0$, s_j, t_j algebraic series).

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$$s : \begin{array}{l} S_1 \rightarrow \alpha_1 \\ \vdots \\ S_n \rightarrow \alpha_n \end{array}$$

α_i, β_i in Greibach NF

$$t : \begin{array}{l} T_1 \rightarrow \beta_1 \\ \vdots \\ T_m \rightarrow \beta_m \end{array}$$

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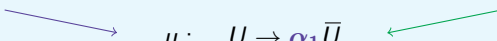
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But: u here not yet in quadratic Greibach NF!

Weighted ω -Pushdown Automata

Definition (Weighted ω -pushdown automaton)

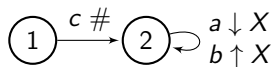
$\mathcal{A} = (n, \Gamma, I, M, k)$ with

- set of states $\{1, \dots, n\}$, $n \geq 1$,
- finite pushdown alphabet Γ ,
- initial state vector I ,
- pushdown matrix $M \in ((A\langle \Sigma \cup \{\epsilon\} \rangle)^{n \times n})^{\Gamma^* \times \Gamma^*}$,
- integer k with $0 \leq k \leq n$. ($1, \dots, k$ are Büchi-accepting states)

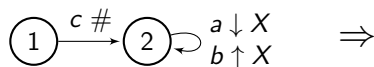
Behavior:

$$\|\mathcal{A}\| = I(M^{\omega, k})_{\epsilon}$$

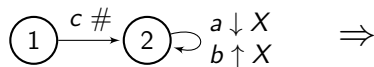
Pushdown Matrix (Details)



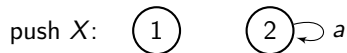
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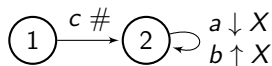
Pushdown Matrix (Details)



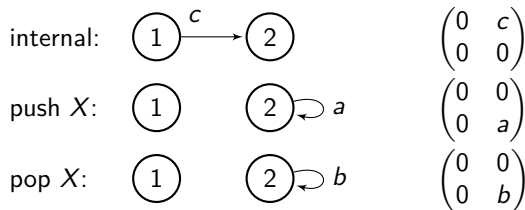
\Rightarrow



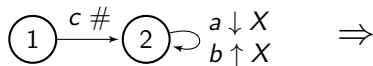
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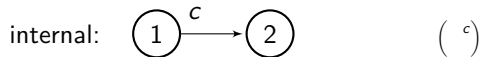
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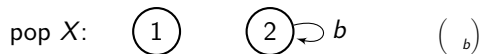
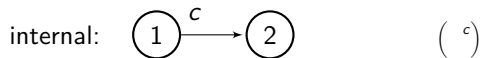
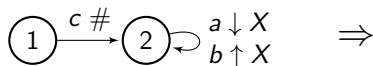
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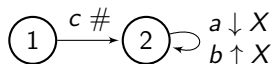


Pushdown Matrix (Details)

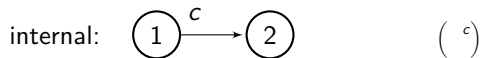


$$M = \begin{matrix} \epsilon \\ X \end{matrix} \left(\begin{matrix} \epsilon \\ \left(\begin{matrix} c \\ b \end{matrix} \right) \end{matrix} \right) \begin{matrix} X \\ \left(\begin{matrix} a \\ c \end{matrix} \right) \end{matrix} \right)$$

Pushdown Matrix (Details)

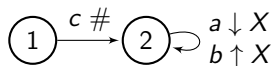


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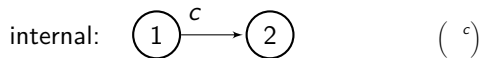


$$M = \begin{matrix} \epsilon \\ X \\ XX \\ XXX \\ \vdots \end{matrix} \begin{pmatrix} \epsilon & X & XX & XXX & \dots \\ \begin{pmatrix} c \\ \end{pmatrix} & \begin{pmatrix} a \\ c \end{pmatrix} & 0 & 0 & \dots \\ \begin{pmatrix} b \\ \end{pmatrix} & \begin{pmatrix} a \\ b \end{pmatrix} & \begin{pmatrix} a \\ c \end{pmatrix} & 0 & \dots \\ 0 & 0 & \begin{pmatrix} a \\ c \end{pmatrix} & \begin{pmatrix} a \\ c \end{pmatrix} & \dots \\ 0 & 0 & \begin{pmatrix} b \\ \end{pmatrix} & \begin{pmatrix} a \\ c \end{pmatrix} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

Pushdown Matrix (Details)



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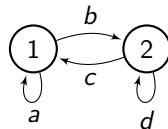
$$M = \begin{pmatrix} \begin{pmatrix} (c) & (a) & 0 \end{pmatrix} \\ \begin{pmatrix} (b) & \dots & \text{push} \dots \\ & \dots & \text{internal} \dots \\ & & \text{pop} \dots \end{pmatrix} \\ 0 \end{pmatrix}$$

(In)Finite Applications of a Matrix

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

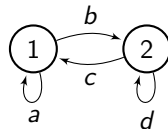
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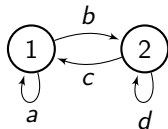
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$$M^* = \begin{pmatrix} (a + bd^*c)^* & (a + bd^*c)^*bd^* \\ (d + ca^*b)^*ca^* & (d + ca^*b)^* \end{pmatrix}$$

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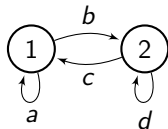
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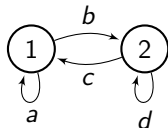


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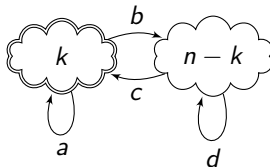
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(In)Finite Applications of a Matrix - Büchi Condition

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{matrix} \} k \\ \} n - k \end{matrix}$$

$\underbrace{\hspace{2em}}_k \quad \underbrace{\hspace{2em}}_{n-k}$



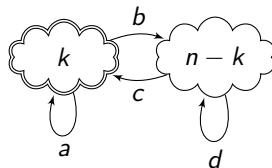
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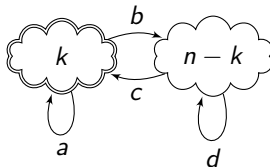
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From ω -Algebraic Systems to Automata

Theorem

Let A be a continuous and commutative star- ω semiring.

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Then there exists a weighted simple ω -pushdown automaton with behavior r .

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Old Construction

Let r be the solution of a ω -algebraic system in Greibach NF

$$y_i = \sum_{1 \leq j, k \leq n} \sum_{a \in \Sigma} (p_i, ay_j y_k) ay_j y_k + \sum_{1 \leq j \leq n} \sum_{a \in \Sigma} (p_i, ay_j) ay_j + \sum_{a \in \Sigma} (p_i, a) a$$

for all $1 \leq i \leq n$.

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($Y \rightarrow$ aYY | bY | c)

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From ω -Algebraic Systems to Automata - Old Construction

Recall

$$y_i = \sum_{1 \leq j, k \leq n} \sum_{a \in \Sigma} (p_i, ay_j y_k) ay_j y_k + \sum_{1 \leq j \leq n} \sum_{a \in \Sigma} (p_i, ay_j) ay_j + \sum_{a \in \Sigma} (p_i, a) a; \quad 1 \leq i \leq n$$

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Old Construction (cont.)

We construct a weighted simple ω -pushdown automaton with n states:

$$\Gamma = \{y_1, \dots, y_n\}$$

From ω -Algebraic Systems to Automata - Old Construction

Recall

$$y_i = \sum_{1 \leq j, k \leq n} \sum_{a \in \Sigma} (p_i, ay_j y_k) ay_j y_k + \sum_{1 \leq j \leq n} \sum_{a \in \Sigma} (p_i, ay_j) ay_j + \sum_{a \in \Sigma} (p_i, a) a; \quad 1 \leq i \leq n$$

Old Construction (cont.)

We construct a weighted simple ω -pushdown automaton with n states:

$$\Gamma = \{y_1, \dots, y_n\}$$

From ω -Algebraic Systems to Automata - Old Construction

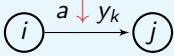
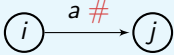
Recall

$$y_i = \sum_{1 \leq j, k \leq n} \sum_{a \in \Sigma} (p_i, ay_j y_k) ay_j y_k + \sum_{1 \leq j \leq n} \sum_{a \in \Sigma} (p_i, ay_j) ay_j + \sum_{a \in \Sigma} (p_i, a) a; \quad 1 \leq i \leq n$$

Old Construction (cont.)

We construct a weighted simple ω -pushdown automaton with n states:

$$\Gamma = \{y_1, \dots, y_n\}$$

push:	$\sum_{a \in \Sigma} (p_i, ay_j y_k) a$	\Rightarrow	
ignore:	$\sum_{a \in \Sigma} (p_i, ay_j) a$	\Rightarrow	
pop:	$\sum_{a \in \Sigma} (p_i, a) a$	\Rightarrow	