

Abstract strategies and coherence

Relational and Algebraic Methods in Computer Science

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DE PARIS

3rd of November 2021

Introduction

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 - **confluence**,
 - **termination**allow the calculation of **unique normal forms**.

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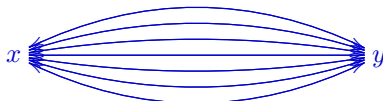
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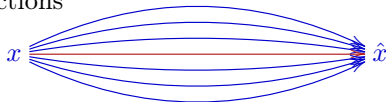
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 - These calculations are given by **rewriting sequences**.
 - Equivalences then correspond to **zig-zag sequences** of calculations.
 - There may be many ways of calculating; that is, many **parallel** sequences between any two objects.
- An ARS is **coherent** when any two parallel zig-zags are equivalent modulo some ‘higher’ relations.
 - Rewriting provides a constructive method for proving coherence,
 - and calculating a **generating set** of such relations.

Coherence via convergence

- Confluence and termination, together called **convergence**, provide a way of calculating coherence proofs:

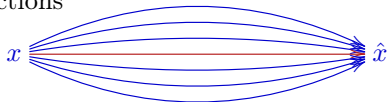
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 - A **strategy** is the choice of a representative amongst parallel normalising reductions

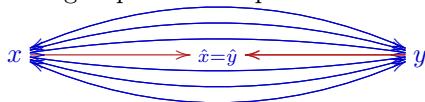


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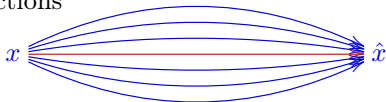


- Confluences in strategies provide a representative amongst parallel zig-zags:

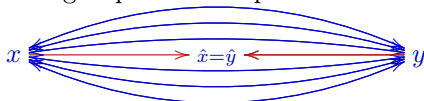


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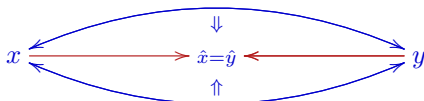
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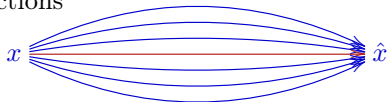


- Proving coherence can be achieved by paving toward this canonical zig-zag:

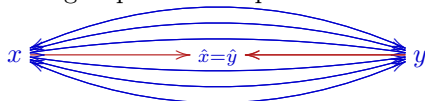


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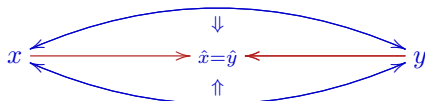
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- Confluences in strategies provide a representative amongst parallel zig-zags:



- Proving coherence can be achieved by paving toward this canonical zig-zag:



- This paving is generated by **local branchings**.

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- **Goal:** describe...
 - coherence properties of ARS,
 - the mechanism of paving toward strategies,in a formal algebraic structure.
- **Abstract rewriting systems** and their properties have been formalised in **modal Kleene algebras (MKA)**.
- We introduce **globular 2-Kleene algebras**, extending MKA, as a natural setting for abstract coherence proofs.

Theorem (Abstract coherence theorem)

Let K be a Boolean globular 2-Kleene algebra (satisfying additional hypotheses) and $\phi \in K_1$ convergent. For any skeleton σ of $\text{exh}(\phi)$,

$$\bar{\phi} \odot_0 \phi \leq |A|_1(\phi^{*0} \odot_0 \bar{\phi}^{*0}) \quad \Rightarrow \quad \phi^{\top 0} = (\phi + \bar{\phi})^{*0} \leq |\hat{A}^{*1}|_1(\sigma \odot_0 \bar{\sigma}).$$

Rewriting in modal Kleene algebras

Modal Kleene algebras

- A **dioid** is a tuple $K = (K, +, 0, \cdot, 1)$ such that
 - $(K, +, 0)$ is a commutative monoid.
 - $(K, \cdot, 1)$ is a monoid.
 - Distributivity: $(x + y) \cdot z = x \cdot z + x \cdot y$ and $x \cdot (y + z) = x \cdot y + x \cdot z$.
 - Annihilator: $x \cdot 0 = 0 = 0 \cdot x$
 - Idempotence: $x + x = x$

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 - Annihilator: $x \cdot 0 = 0 = 0 \cdot x$
 - Idempotence: $x + x = x$
 - We equip K with a an **order** \leq given by

$$x \leq y \quad \iff \quad x + y = y$$

- A **Kleene algebra** is a dioid K equipped with a map

$$(-)^* : K \rightarrow K$$

satisfying the following axioms for all $x, y, z \in K$:

$$\begin{array}{ll} 1 + xx^* \leq x^*, & y + xz \leq z \Rightarrow x^*y \leq z, \\ 1 + x^*x \leq x^*, & y + zx \leq z \Rightarrow yx^* \leq z. \end{array}$$

- A Kleene algebra K along with a map $ad : K \rightarrow K$ satisfying:

$$ad(x)x = 0, \quad ad(xy) \leq ad(x ad^2(y)), \quad ad^2(x) + ad(x) = 1,$$

for all $x, y \in K$ is called a **(Boolean) Kleene algebra with domain**. The map $d := ad^2$ is called the **domain map**.

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- In such a structure, the **domain algebra**

$$K_d := d(K) = \{ x \in K \mid d(x) = x \}$$

is a Boolean algebra $(K_d, +, 0, \cdot, 1, \neg)$ where $\neg = ad|_{K_d}$.

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- We similarly axiomatise a notion of **codomain** $r : K \rightarrow K$.
- K is a **(Boolean) modal Kleene algebra (MKA)** when equipped with a domain and a codomain map.

$$K_d \begin{array}{c} \xleftarrow{r(-)} \\ \xrightarrow{d(-)} \\ \xleftarrow{\quad} \end{array} K$$

Modalities and conversion

Let K be a modal Kleene algebra and $x \in K$.

- We define the **forward diamond operator** given by x :

$$\begin{aligned} |x\rangle : K_d &\longrightarrow K_d \\ p &\longmapsto d(x \cdot p) \end{aligned}$$

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- K is an **MKA with converse** when equipped with an involution $\overline{(-)} : K \rightarrow K$ satisfying

$$\overline{(x + y)} = \bar{x} + \bar{y}, \quad \overline{(x \cdot y)} = \bar{y} \cdot \bar{x}, \quad \text{and} \quad \overline{(x^*)} = (\bar{x})^*.$$

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Finally, conversion is **contracting** in the sense that

$$d(x) \leq x \cdot \bar{x}.$$

Rewriting properties in MKAs

Let K be a modal Kleene algebra and $x, y \in K$.

- We say that x **terminates** if

$$\forall p \in K_d, \quad p \leq |x\rangle(p) \Rightarrow p \leq 0.$$

Rewriting properties in MKAs

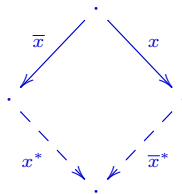
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- We say that x is...
 - **locally confluent** if

$$\langle x | \circ |x \rangle \leq |x^* \rangle \circ \langle x^* |.$$



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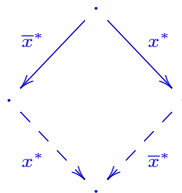
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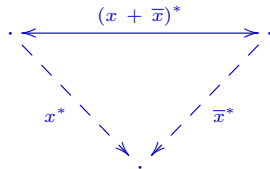
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$$|(x + \bar{x})^*\rangle \leq |x^*\rangle \circ \langle x^*|.$$



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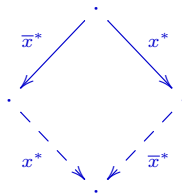
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- We say that x is **convergent** when it both terminates and is confluent.

Formalisation of coherence

2-Kleene algebras

- A **globular 2-Kleene algebra** is a tuple

$$(K, +, 0, \odot_i, 1_i, (-)^{*i}, d_i, r_i)_{i \in \{0, 1\}}$$

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- $(K, +, 0, \odot_1, 1_1, (-)^{*1})$ is an MKA.
- Multiplications satisfy the **weak exchange law**:

$$(A \odot_1 A') \odot_0 (B \odot_1 B') \leq (A \odot_0 B) \odot_1 (A' \odot_0 B').$$

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- (co-)Domains satisfy **absorption laws** $d_1 \circ d_0 = d_0$ and $r_1 \circ r_0 = r_0$. The domain algebra K_{d_i} will be denoted by K_i .

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- The 1-star is a **lax morphism** w.r.t. 0-multiplication by 1-dimensional elements, *i.e.* for all $A \in K$ and $\phi \in K_1$,

$$\phi \odot_0 A^{*1} \leq (\phi \odot_0 A)^{*1}, \quad \text{and} \quad A^{*1} \odot_0 \phi \leq (A \odot_0 \phi)^{*1}.$$

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- $(K, +, 0, \odot_1, 1_1, (-)^{*1})$ is an MKA.
- Finally, the (co-)domains satisfy **globularity conditions**:

$$\begin{aligned} d_0 \circ d_1 = d_0 \quad \text{and} \quad d_0 \circ r_1 = d_0, & \quad d_1(A \odot_0 B) = d_1(A) \odot_0 d_1(B), \\ r_0 \circ d_1 = r_0, \quad \text{and} \quad r_0 \circ r_1 = r_0, & \quad r_1(A \odot_0 B) = r_1(A) \odot_0 r_1(B). \end{aligned}$$

$$K_0 \begin{array}{c} \xleftarrow{r_0(-)} \\ \xrightarrow{d_0(-)} \\ \xleftarrow{d_0(-)} \\ \xrightarrow{r_0(-)} \end{array} K_1 \begin{array}{c} \xleftarrow{r_1(-)} \\ \xrightarrow{d_1(-)} \\ \xleftarrow{d_1(-)} \\ \xrightarrow{r_1(-)} \end{array} K_2$$

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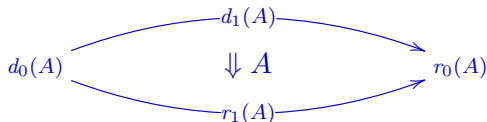
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$$r_0 \circ d_1 = r_0, \text{ and } r_0 \circ r_1 = r_0, \quad r_1(A \odot_0 B) = r_1(A) \odot_0 r_1(B).$$

- An element $A \in K$ is represented graphically by:



Paving via modalities

- Just as in the case of MKAs, we obtain modalities for each multiplication:

$$|A\rangle_0 : K_0 \longrightarrow K_0$$

$$p \longmapsto d_0(A \odot_0 p)$$

$$|A\rangle_1 : K_1 \longrightarrow K_1$$

$$\phi \longmapsto d_1(A \odot_1 \phi)$$

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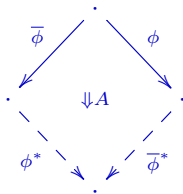
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Let K be a globular 2-Kleene algebra, $A \in K$ and $\phi \in K_1$.

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 - a **local confluence filler** for ϕ if

$$\bar{\phi} \odot_0 \phi \leq |A|_1(\phi^{*0} \odot_0 \bar{\phi}^{*0})$$

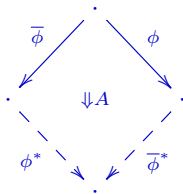


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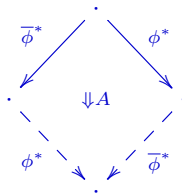


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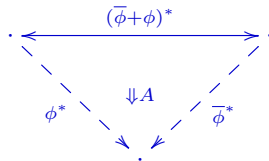


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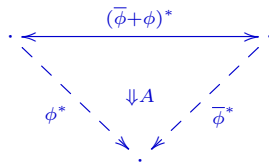


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- When A is a filler for ϕ , the **total whiskering** of A is the element

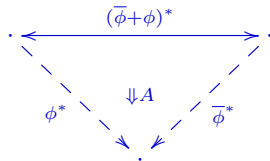
$$\hat{A} := (\bar{\phi} + \phi)^{*0} \odot_0 A \odot_0 (\bar{\phi} + \phi)^{*0}$$

Paving via modalities

Let K be a globular 2-Kleene algebra, $A \in K$ and $\phi \in K_1$.

- We say that A is...
 - a **Church-Rosser filler** for ϕ if

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- When A is a filler for ϕ , the **total whiskering** of A is the element

$$\hat{A} := (\bar{\phi} + \phi)^{*0} \odot_0 A \odot_0 (\bar{\phi} + \phi)^{*0}$$

- The **completion** of A is the element \hat{A}^{*1} .

Strategies and coherence

Let K be an MKA, $x \in K$ and $p \in K_d$.

- The **equivalence** generated by x is the element $x^\top := (x + \bar{x})^*$.
- The **x -saturation** of p is the element $|x^\top\rangle(p) \in K_d$.
- A **covering set** for x is an element $q \in K_d$ such that $|x^\top\rangle(q) \geq 1$.
- A **section** of x is a minimal covering set.

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- Given a section s_0 of x , a **strategy for x relative to s_0** is:
 - a skeleton σ of $x^\top s_0$,
 - such that $s_0 \sigma \leq s_0$.

Convergence yields a strategy

Let K be a MKA and $x \in K$.

- The **exhaustion** of x is defined by:

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Proposition

Let K be a modal Kleene algebra and $x \in K$. If x is convergent, then

- nf_x is a section of x .
- A skeleton σ of $exh(x)$ is a strategy for x with respect to nf_x .

Abstract coherence theorem

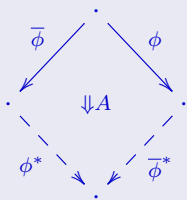
Theorem (Coherent normalising Newman's lemma)

Let K be a globular 2-Kleene algebra such that

- $(K_0, +, 0, \odot_0, \mathbb{1}_0, \neg)$ is a complete Boolean algebra,
- K_1 is continuous with respect to 0 -restriction.

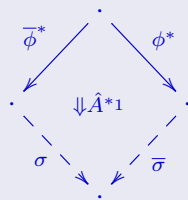
Let $\phi \in K_1$ be convergent and σ be a skeleton of $\text{exh}(\phi)$. We have

$$\bar{\phi} \odot_0 \phi \leq |A\rangle_1(\phi^{*0} \odot_0 \bar{\phi}^{*0}) \quad \Rightarrow \quad \bar{\phi}^{*0} \odot_0 \phi^{*0} \leq |\hat{A}^{*1}\rangle_1(\sigma \odot_0 \bar{\sigma})$$



A is a local
confluence filler for ϕ

\Rightarrow



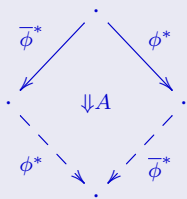
\hat{A}^{*1} paves branchings in ϕ
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Abstract coherence theorem

Theorem (Abstract coherence theorem)

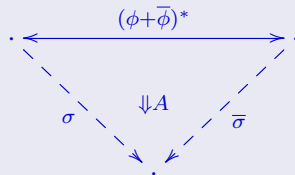
Let K be a Boolean globular 2-Kleene algebra satisfying the previous hypotheses and $\phi \in K_1$ convergent and a skeleton σ of $\text{exh}(\phi)$. We have

$$\bar{\phi}^{*0} \odot_0 \phi^{*0} \leq |A\rangle_1(\phi^{*0} \odot_0 \bar{\phi}^{*0}) \quad \Rightarrow \quad \phi^{\top 0} = (\phi + \bar{\phi})^{*0} \leq |\hat{A}^{*1}\rangle_1(\sigma \odot_0 \bar{\sigma}).$$



A is a local
confluence filler for ϕ

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\hat{A}^{*1} paves zig-zags in ϕ
to confluences in σ .

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 - obtain a formalisation of calculating **resolutions** via rewriting,
- The end goal for ARS is a formalisation of **cofibrant replacement** via convergent rewriting.
- After finishing the case of ARS, move on to **string and term** rewriting systems:
 - Capture **contexts** via residuation,
 - thereby state and prove the **critical branching lemma**.

Thank you